

FORM PTO-1449 U.S. Department of Commerce
(Rev. 4/92) Patent and Trademark Office

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.

L741.02102

SERIAL NO.

10/085,127

APPLICANT

Yves AUDEBERT, et al.

FILING DATE

DATE
March 1, 2002

GROUP

2131

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

[illegible]

EXAMINER

DATE CONSIDERED

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Already Considered	6 1 0 1 2 5 4	08/2000	Thiriet			
	6 1 3 1 8 1 1	10/2000	Gangi			
	6 0 0 5 9 4 2	12/1999	Chan et al.			
	6 1 4 4 6 7 1	11/2000	Perinpanathan et al.			
	5 7 7 8 0 7 1	07/1998	Caputo et al.			
Invalid Number	2002 0 2 5 0 4 6	02/2002	Lin			
Already Considered	6 2 7 9 0 4 7	08/2001	Bublitz et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
		YES	NO											
Already considered ↓		9	9	6	2	2	1	0	12/1999	WO				
		0	1	2	2	3	7	3	03/2001	WO				
		0	1	1	6	9	0	0	03/2001	WO				
		9	8	5	2	1	6	1	11/1998	WO				

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Do Page Number	Global Platform, Open Platform, Terminal Specification, Version 1.5, November 1999.
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	ISO/IEC 7816-4: 1995(E) Information technology, Identification cards, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange.
	ISO/IEC 7816-5: 1994/Amd. 1: 1996(E) Identification cards, Integrated circuit(s) cards with contacts, Part 5: Numbering system and registration procedure for application identifiers, Amendment 1.
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	Chen, Zhiquan, "How to write a Java Card applet: a Developer's Guide", Javaword, July 1999.
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9 9 6 2 2 1 0	12/1999	WO			
0 1 2 2 3 7 3	03/2001	WO			
0 1 1 6 9 0 0	03/2001	WO			

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		9	9	6	2	2	1	0	12/1999	WO				

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	0	1	5	9	7	3	0	08/2001	WO				
Missing Even Pages Not in English	0	9	1	1	7	7	2	04/1999	EP				
	1	9	5	2	2	5	2	01/1997	DE				

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	Posey, Brien, "Using Smart Cards with Windows 2000, " TechCrawler.com, September 28, 2000.
No Application Number	International Search Report dated August 23, 2002.

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MT H	6	1	3	1	8	1	1		10/2000	Gangi	✓	—	
Already considered	6	0	0	5	9	4	2		12/1999	Chan et al.	✓	—	

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No Page Number	
	Global Platform, Open Platform, Terminal Specification, Version 1.5, November 1999. ✓
	Global Platform, Open Platform Terminal Framework, API Version 1.5.4, July 20, 2000. ✓
	Global Platform, Multi Application- Smart Card Management Systems, Global Platform Functional Requirements, Version 3.3, November 2000. ✓
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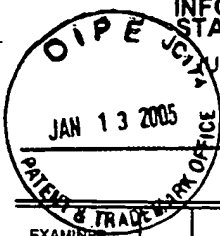
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MTH		6	1	4	4	6	7	1	11/2000	Perinpanathan et al.	✓		

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													YES	NO
MTH		9	9	6	2	2	1	0	12/1999	WO ✓	✓			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

International Search Report dated March 9, 2002. ✓

P. TROMMLER, et al.; "Smart cards and the OpenCard Framework," Java World, January, 1998, ✓
XP002173639, pp. 1-12.

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Incorrect Number	0 1 0 1 2 5 4	08/2000	Thiriet			
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Odd Pages
missing
in file.

~~T. EBRINGER, et al.: "Parasitic Authentication To Protect Your E-Wallet," Computer, IEEE Computer Society, Long Beach, CA, US, US, vol. 33, no. 10, October 1, 2000, XP001001747, ISBN: 0018-9162, pp. 54-60.~~

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MT H	6 0 1 8 7 7 9	01/2000	Blumenau	✓	—	
MT H	6 1 2 8 3 3 8	10/2000	Behaghel et al.	✓	—	

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MT H	0 9 2 3 2 1 1	06/1999	EP	✓	—		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

No Application Number	International Search Report dated April 23, 2003.
MT H	European Telecommunications Standards Institute: "Digital Cellular Telecommunications System (Phase 2+) (GSM); Universal Mobile telecommunications System (UMTS); Security Mechanisms for the (U) SIM application toolkit; Stage 2: (3GPP TS 23.048 version 4.1.0 Release 4)" ETSI TS 123 048 V4.1.0, September 2001, pp. 1-35, XP002237189 ETSI Technical Specification. ✓
MT H	"Digital Cellular Telecommunications System (Phase 2+) (GSM); Specification of the SIM Application Toolkit for the Subscriber Identity Module - Mobile Equipment (SIM - ME) Interface (GSM 11.14 version 8.3.0 Release 1999)" ETSI TS 101 267 V8.3.0, XX, XX, August 2000, pp. 1-69, 114, 115, XP002222021. ✓

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~~P. FROMMLER, et al. "Smart cards and the OpenCard Framework," Java World, January, 1998, XP002473639, pp. 1-12.~~

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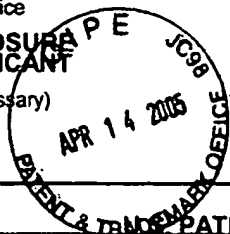
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EXAMINER INITIAL			DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
MTH			6	1	9	5	7	0	0	02/2001	Bender et al.	—	—	
MTH			6	8	0	7	5	6	1	10/2004	Lagosanto et al.	✓	✓	
MTH			6	1	8	1	7	3	5	01/2001	Sarat	—	—	
MTH			6	2	3	3	6	8	3	05/2001	Chan et al.	✓	✓	
MTH			6	6	9	4	4	3	6	02/2004	Audebert	—	—	
MTH	2001		0	0	3	9	5	8	7	11/2001	Uhler et al.	✓	✓	
MTH			6	0	0	5	9	4	2	12/1999	Chan et al.	✓	✓	

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		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
		YES	NO											

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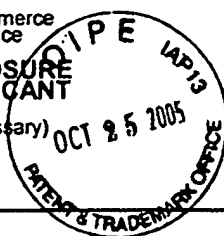
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE APPROPRIATE
MTH	6 9 4 4 6 5 0	09/2005	Urien	—	—	
MTH	6 3 8 5 7 2 9	05/2002	DiGiorgio et al.	—	—	
MTH	6 7 5 1 6 7 1	06/2004	Urien	—	—	

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DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
					YES NO

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MTH	Office Action dated October 20, 2005 in 09/844,272.

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